Page 1 of 2



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/767,398

01/28/2004

Joseph J. Settelmayer

**YAK 382** 

23581 KOLISCH HARTWELL, P.C. 520 S.W. YAMHILL STREET SUITE 200 PORTLAND, OR 97204



**CONFIRMATION NO. 2860** FORMALITIES LETTER OC000000012951990\*

Date Mailed: 06/15/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$900 for a Large Entity

\$770 Statutory basic filing fee.

• \$130 Late oath or declaration Surcharge.

08/20/2004 BABRARAI 00000007 1076/356

01 FC:100i 02 FC:1051 770.00 UH 130.00 OF

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMME Application Number 10/767,398  TRANSMITTAL FORM   Filling Date	Ander the Paperwork Reduction Act of 1995, no perso	U.S. Pater	nt and Trademark Office; U.S. DEPARTMENT OF COMMERC on of information unless it displays a valid OMB control numbe			
FORM  (to be used for all correspondence after initial filing)  Examiner Name  Total Number of Pages in This Submission  ENCLOSURES (Check all that apply)  ENCLOSURES (Check all that apply)  Fee Transmittal Form  Drawing(s)  Fee Attached  Licensing-related Papers  After Final  Petition  After Final  After Final  After Final  Affidavits/declaration(s)  Extension of Time Request  Information Disclosure Statement  Certified Copy of Priority  First Named Inventor  Joseph J. Settelmayer  After Allowance communication to Tome to Technology Center (TC)  After Allowance communication to Tome Technology Center (TC)  After Allowance communication to Tome Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Board of Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Where Enclosure(s) (please Identify below):  Recordation Form Cover Sheet; Assignmand return receipt postcard  Remarks		Application Number	10/767,398			
Art Unit  Examiner Name  Total Number of Pages in This Submission  Fee Transmittal Form  Drawing(s)  Amendment/Reply  After Final  After Allowance communication to To Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Petition  Change of Correspondence Address  Terminal Disclaimer  After Allowance communication to To Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Power of Attorney, Revocation  Change of Correspondence Address  Terminal Disclaimer  Art Unit  Attorney Docket Number  After Allowance communication to To Technology Center (TC)  Appeal Communication  After Allowance communication to To Technology Center (TC)  Appeal Communication  After Allowance communication  To Technology Center (TC)  Appeal Communication  After Allowance communication  to Technology Center (TC)  Appeal Communication  After Allowance communication  To Technology Center (TC)  Appeal Communication  For Appeal Communication  After Allowance Communication  To Technology Ce	- · · · · · - <del>-</del>	Filing Date	<del>                                     </del>			
Examiner Name    Total Number of Pages in This Submission	FORM	First Named Inventor	<del> </del>			
Total Number of Pages in This Submission  ENCLOSURES (Check all that apply)  ENCLOSURES (Check all that apply)  After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  After Final  After Final  Affidavits/declaration(s)  Extension of Time Request  Information Disclosure Statement  Certified Copy of Priority  Attorney Docket Number  YAK 382  After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Petition to Convert to a Provisional Application  Provisional Application  Provisional Application  Provisional Application  Change of Correspondence Address  After Allowance communication to Technology Center (TC)  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  Recordation Form Cover Sheet; Assignment and return receipt postcard  Remarks	(to be used for all correspondence after initial filing)	Art Unit				
ENCLOSURES (Check all that apply)    Fee Transmittal Form		Examiner Name				
Fee Transmittal Form  Drawing(s)  Licensing-related Papers  Appeal Communication to Technology Center (TC)  Appeals and Interferences  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC  (Appeal Notice, Brief, Reply Brief)  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address  Extension of Time Request  Express Abandonment Request Information Disclosure Statement  Certified Copy of Priority  After Allowance communication to Tc To Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  Recordation Form Cover Sheet; Assignmand return receipt postcard  Remarks	Total Number of Pages in This Submission	Attorney Docket Number	YAK 382			
Fee Transmittal Form    Drawing(s)	ENC	CLOSURES (Check all tha	t apply)			
Response to Missing Parts/ Incomplete Application	Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/	Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  Recordation Form Cover Sheet; Assignment			
Response to Missing Parts under 37 CFR 1.52 or 1.53	under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	Fi		IEY, OR AGENT			
Firm or Pierre C. Van Rysselberghe Kolisch Hartwell, P.C.	or Keliegh Hertwell B.C.	e				
Signature Signature	Signature					
Date August 16, 2004	Date August 16, 2004					
CERTIFICATE OF TRANSMISSION/MAILING	CEDTIEI	ICATE OF TRANSMISSION	N/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service wis sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 of the date shown below.	I hereby certify that this correspondence is being facs sufficient postage as first class mail in an envelope ac	simile transmitted to the USPTO o	r deposited with the United States Postal Service with			
Typed or printed name Pamela A. Knight	Typed or printed name Pamela A. Knight					
Signature Date August 16, 2004	Signature Public	entro	Date August 16, 2004			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **FEE TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	900	.00
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Complete if Known				
Application Number	10/767,398			
Filing Date	January 28, 2004			
First Named Inventor	Joseph J. Settelmayer			
Examiner Name				
Art Unit				
Attorney Docket No.	YAK 382			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None		3. ADDITIONAL FEES				
Order Order			Small		Long to the Commence of the Co	
Denosit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051	65	Surcharge - late filing fee or oath	130.00
Deposit Kolisch Hartwell, P.C.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee		1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-identified deposit account.	4054		2054		Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210		
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	1253	950	2253		Extension for reply within third month	
Code (\$) Code (\$)	1254		2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee 770.00		2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451		Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 770.00	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
Fee from	1501	•	2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid  Total Claims 18 .20** = 0 x 18.00 = 0.00	1502	480	2502		Design issue fee	
Total Claims 18 -20** = 0 X 18.00 = 0.00 Independent 3 -3** = 0 X 86.00 = 0.00	1503	640	2503		Plant issue fee	
Claims 3 - 3 = 0   x   80.00 = 0.00   Multiple Dependent	1460	130	1460	130	Petitions to the Commissioner	
	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee   Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	9 385	Filing a submission after final rejection	
1201 86 2201 43 independent claims in excess of 3	1810	770	2810	385	(37 CFR 1.129(a)) 5 For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims					examined (37 CFR 1.129(b))	$\vdash$
over original patent	1801	770	2801		Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination     of a design application	
SUBTOTAL (2) (\$) 0.00	Other	fee (sp	ecify) _			
**or number previously paid, if greater; For Reissues, see above	*Redu	iced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 130.	.00

SUBMITTED BY (Complete (if applicable))						
Name (Print/Type)	Pierre C. Van Rysselberghe	Registration No. (Attorney/Agent)	33,557	Telephone	(503) 224-6655	
Signature	Michael L			Date	August 16, 2004	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of

August 16, 2004

JOSEPH J. SETTELMAYER, SCOTT R. ALLEN and TIMOTHY C. SMITH

Serial No.

10/767,398

Filed

January 28, 2004

For

**CAR TOP CARRIER** 

Mail Stop MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

In response to the Notice to File Missing Parts of Nonprovisional Application dated June 15, 2004 (copy enclosed), applicants are enclosing an executed Declaration for Patent Application and an Assignment to Watermark PaddleSports, Inc. Also enclosed is a Power of Attorney, Statement Under 37 C.F.R. § 3.73(b), and Assertion of Right Under 37 C.F.R. § 3.71 and MPEP § 106 executed by an officer of Watermark PaddleSports, Inc.

Enclosed is are two checks, one in the amount of \$900.00 covering the filing fee and surcharge fee, and one in the amount of \$40.00 covering the recordation fee. Please credit any overpayment or charge any required fees to Deposit Account No. 11-1540. A duplicate of this sheet is enclosed.

# CERTIFICATE OF MAILING

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail and is addressed to: Mail Stop MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on

Pamela A. Knight

kugust 16, 2004

Date of Signature: August 16, 2004

KQLISCH HARTWELL, P.C.

Pierre C. Van Rysselberghe Registration No. 33,557 Customer PTO No. 23581 of Attorneys for Applicants 200 Pacific Building 520 SW Yamhill Street Portland, Oregon 97204 Telephone: (503) 224-6655

Telephone: (503) 224-6655 Facsimile: (503) 295-6679